Organizational Resilience Management System: Maintenance, Exercise and Review (ME&R) Regime

Background

1. The Organizational Resilience Management System (ORMS) cannot be considered reliable unless it has been exercised and subject to constant improvement, and tested to ensure emergency management procedures are consistent with business priorities and policy. To this end, staff implementing the ORMS must also be trained and able to work as a team under crisis conditions. The Organization must also demonstrate due diligence toward the management of identified risks. These objectives are achieved through the implementation of a formal Maintenance, Exercise and Review (ME&R) programme, which will be embedded in the Organization’s work processes.

Purpose

2. Pursuant to the Business Continuity Institute Good Practice Guidelines,¹ the purpose of the ME&R programme is to ensure that emergency management capability,

“Reflects the nature, scale and complexity of the organization it supports and that it is current, accurate, and complete, and that actions are taken to continually improve organizational resilience.”

3. Under the ME&R programme, which will integrate all ORMS elements:

   a. All aspects of response and recovery to incidents will be exercised;
   b. Emergency management plans will be kept up to date;
   c. Required documentation, such as event after action reviews, will be maintained and distributed to the appropriate offices in a timely manner; and
   d. Emergency management capabilities will be evaluated to identify improvements to both programme implementation and organizational resilience.

¹ Business Continuity Institute, Good Practice Guidelines 2013.
Scope

4. The proposed ME&R programme aligns with the UN System policy on ORMS and other relevant UN-system wide policies of the United Nations,\(^2\) and will apply to all UN system entities.

Implementation

5. The ME&R programme will be a continuous improvement cycle, comprised of the specific actions and their frequency, detailed in Annex A.

6. Unless stated otherwise, the *No Fault* concept will apply, under which exercise evaluation is intended only to identify systemic weaknesses and to suggest corrective actions that enhance organizational resilience. However, following exercises and tests, an after-action report will be completed and corrective actions identified and implemented.

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\(^2\) This includes the Framework of Accountability and other policies of the United Nations Security Management System.
### Annex A – ORMS Maintenance, Exercise and Review Programme

<table>
<thead>
<tr>
<th>Category</th>
<th>Objective(s)</th>
<th>Actions</th>
<th>Frequency</th>
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<tbody>
<tr>
<td>Training and Awareness</td>
<td>Ensure all managers and staff are aware of emergency management plans and procedures</td>
<td>Conduct awareness campaign, to include <em>Staff Emergency Day</em></td>
<td>Annually</td>
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| Decision-making (Leadership, Responsibilities) | • Exercise the workflow of decision-making processes  
• Exercise office capacity to respond to a crisis event  
• Identify gaps in emergency management plans | • Orientation of crisis managers  
• Crisis Management structures, or equivalent, meet  
• Simulation Exercise conducted | As required  
• Bi-annually  
• Annually |
| Crisis / incident response        | • Ensure that potential threats to UN personnel and assets are addressed rapidly                                                                 | • Relevant members of the United Nations Security Management System follow operational guidelines in line with the Framework of Accountability of the United Nations Security Management System | Continually                     |
| Communication (Alert, Notification) | • Ensure clear instructions are communicated through the communication tree  
• Validate and update contact information for staff, Member States and stakeholders | • Staff communication tree or Emergency Notification System exercised  
• Communications with Member States or Governing Bodies, and stakeholders exercised | Quarterly  
• Bi-annually |
| Business Process Recovery         | • Validate technology requirements  
• Validate critical business processes and recovery time objectives  
• Ensure all staff are capable of implementing recovery strategies  
• Identify gaps and update emergency management plans | • Telecommuting exercise conducted  
• Staff meeting using peer-to-peer technology (e.g. Skype) conducted  
• Devolution arrangements exercised | Bi-annually  
• Bi-annually  
• Annually |
| IT Disaster Recovery              | • Ensure the continuity of critical ICT infrastructure  
• Validate IT disaster recovery strategies                                                                                                   | Fail-over tests conducted                                                                                                                     | Annually                        |
| After-Action Review and Learning  | • Identify tasks, schedules and responsibilities for corrective actions  
• Monitor the implementation progress of corrective action                                                                                 | After-Action-Reviews conducted after each event and exercise                                                                                 | As appropriate                  |
| Testing                           | • Validate plans, policies, procedures, and systems against established standard  
• Identify deficient plans, policies, and procedures as well as systems for subsequent corrective actions | Functional Tests conducted                                                                                                                   | Annually                        |
| Update and Endorsement           | • Executive endorsement of the updated emergency management plans  
• Identify deficient plans, policies, and procedures                                                                                       | • Plans updated  
• Plans endorsed and approved                                                                                                               | Semi-annually  
• Annually |