

Vendor Eligibility Project – Development of a Model Framework on Vendor Sanctions for the UN System

PROJECT STATUS REPORT

Status Date:	1 October 2010
Project Name:	Vendor Eligibility Project: Development of a Model Framework on Vendor Sanctions for the UN System
Lead Agencies:	UNDP
Project Budget:	US\$ 170,000

Project Summary

Project Overview

The objective of the project is to develop a model administrative procedure for use by UN organizations that allows for the introduction of a common decision mechanism to be introduced for the handling of suspect vendors; recording and knowledge sharing facilities within the UN procurement portal, The UN Global Marketplace (UNGM), that allows for the systemized sharing of the decisions made on suspect vendors by any UN organization amongst the entire UN procurement community; a training and communication programme launching and implementing the initiative.

Expected Benefits:

- Principle benefits derive from the development and communication of the relevant policy frameworks needed by UN organizations in order to implement a common approach to suspect vendors.
- The benefits are realized by the introduction of a knowledge-sharing platform that will provide a UN system-wide ability to communicate decisions relating to suspect vendors amongst the UN procurement community.
- This will in turn enhance professionalism and co-ordination within the UN procurement community by reducing duplication and capturing institutional knowledge.
- Further, it will demonstrate the continued strengthening of the UN's procurement function and improve stakeholder confidence in the professionalism of UN system procurement operations.
- Expected results include an improvement in the quality of procurement outcomes and an increase in transparency by facilitating operations and due diligence by procurement officials both in the field and at HQs.

Project Activities

Activity 1	Development of the policy frameworks, prescriptive content and other tools needed by UN agencies to implement a common approach against suspect vendors.
Output 1	Individual administrative procedures and guidelines of UN organizations with regard to vendor eligibility and dealing with vendors suspected, accused of or proven guilty after due process of misconduct in line with Supplier Code of Conduct to be published on United Nations Global Marketplace.
Activity 2	Enhancement of the UNGM Database to enable recording of agency decisions concerning any individual vendor with the ability to capture information concerning any suspect vendor that may not be currently registered with UNGM but who may attempt to become a UN supplier.
Output 2	Database which allows easy, coordinated and reliable access to information on vendors who are under investigation, restricted, suspended or removed by UN organizations.
Activity 3	Production of guidelines and training material and a communication/training programme.
Output 3.1	On-line training guide for UN Procurement Practitioners.
Output 3.2	Regular updates on functionality of database and updating of vendor information.

Project Duration Estimates

Project Milestone	Date Estimate	Implementation Level
Project Start Date/Release of Funds	August 2009	Completed
Inception Report	September 2009	Completed
Progress Report	November 2009	Completed
First draft of the model framework	April 2010	Completed
Final version of the Model Policy Framework (MPF) discussed and agreed by the Procurement Network	September 2010	Completed
Consultation with Legal Network on final refinements and adjustments	November 2010	Completed
Endorsement and adoption by HLCM	March 2011	Completed
Follow up: HLCM members to adjust internal processes in line with Model Framework. Report on status	September 2011	On-going